



Notice to Customers relating to Personal Data (Privacy) Ordinance The “PDPO”

This Statement is made by 8 Securities Limited (“8SL”) in accordance with the PDPO of the Hong Kong Special Administrative Region (“HKSAR”). The statement is intended to notify you why personal data is collected, how it will be used and to whom data access requests are to be addressed

- a. From time to time, it is necessary for customers to supply 8SL with data in connection with the opening or continuation of accounts and the establishment or continuation of provision of brokerage services, credit facilities or compliance with any laws or guidelines issued by regulatory or other authorities.
- b. Failure to supply such data may result in the 8SL being unable to open or continue accounts or establish or continue the provision of brokerage services or comply with any laws or guidelines issued by regulatory or other authorities.
- c. It is also the case that data are collected from customers in the ordinary course of the continuation of the brokerage relationship. This includes information obtained from credit reference agencies.
- d. The purpose of which data relating to a customer may be used are as follows:
 - (i) The daily operation of the services and credit facilities provided to customers;
 - (ii) Conducting credit checks/background/litigation search and upon periodic or special reviews of the credit which normally will take place one or more times each year;
 - (iii) Creating and maintaining 8SL’s credit and risk related models;
 - (iv) Assisting other financial institutions to conduct credit checks and collect debts;
 - (v) Ensuring ongoing credit worthiness of customers;
 - (vi) Designing financial services or related products for customers’ use;
 - (vii) Marketing the following services and products:
 - Reward, loyalty or privileges programmes and related services and products; and
 - Services and products offered by the 8SL’s co-branding partners; andThese services or products may be provided and/or marketed by:
 - 8SL and its group company;
 - Third party financial institutions, securities and investment services providers;
 - Third party reward, loyalty or privileges programme providers; and
 - Co-branding partners of 8SL and its group companies.
 - (viii) Determining the amount of indebtedness owed to or by customers;
 - (ix) Meeting the requirements to make disclosure under the requirements of any law binding on 8SL or its group companies and for the purposes of any guidelines issued by regulatory or other authorities with which 8SL or its group companies are expected to comply;
 - (x) Enabling an actual or proposed assignee of the 8SL or participant or sub-participant of 8SL’s rights in respect of the customer to evaluate the transaction intended to be the subject of the assignment, participation or sub-participation;
 - (xi) Sharing information on your personal profile (created by you through the Trading Platform), the Trading and Portfolio Information with other 8SL’s customer(s) for the function of Social Trading feature;
 - (xii) Complying with any obligations, requirements or arrangements that 8SL and/or its Affiliates have or may have in the future with local or foreign regulatory or tax authorities, whether imposed by law or assumed by them for the protection of their financial, commercial business or other legitimate interests in or related to such jurisdictions, including but not limited to compliance with obligations binding on 8SL and/or its Affiliates pursuant to arrangements in relation to the FATCA and AEOI;
 - (xiii) For establishing whether you are a citizen of the United States, resident of the United States for its federal income tax purposes or otherwise subject to tax in the United States and/or to substantiate whether your account has U.S. status for the purposes of FATCA; and
 - (xiv) Purposes relating thereto.
- e. Data held by 8SL relating to a customer will be kept confidential but 8SL may provide such information to the following parties (whether within or outside the HKSAR) for the purposes set out in paragraph (d):

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- (i) Any agent, contractor or third party service provider who provides administrative, telecommunications, computer, payment or securities clearing or other services to 8SL in connection with the operation of its business;
 - (ii) Credit reference agencies, and in the event of default, to debt collection agencies;
 - (iii) Any person to whom 8SL is under an obligation to make disclosure under the requirements of any law binding on 8SL or any group companies or under and for the purposes of any guidelines issued by regulatory or other authorities with which 8SL or any group companies are expected to comply;
 - (iv) Any actual or proposed assignee of 8SL or participant or sub-participant or transferee of 8SL's rights in respect of the customer;
 - (v) 8SL's customer(s) using the function of Social Trading features
 - (vi) Any person to whom 8SL and/or its Affiliates is under an obligation to make disclosure under the requirements of any law, rules, regulations, court orders, codes of practice, guidelines or voluntary arrangements binding on 8SL and/or its Affiliates including, without limitation, any applicable regulators, governmental bodies, or industry recognized bodies such as exchanges, fiscal and monetary authorities, securities and banking associations and credit reference agencies, (all of which may be within or outside Hong Kong) and where otherwise required by law, including, without limitation, to any tax authority of any jurisdiction (including but not limited to the IRS and IRD) for the purposes specified above (including but not limited to the compliance with FATCA and AEOI), or where we have reason to believe you may be a resident for tax purposes, citizen or otherwise subject to tax; and
 - (vii) · 8SL's group companies;
· Third party reward, loyalty and privileges programme providers;
· Co-branding partners of 8SL and 8SL's group companies (the names of such co-branding partners will be provided during the application of the relevant services and products, as the case may be); and
· external service providers that 8SL engages for the purposes set out in paragraph (d) (vii).
- Such information may be transferred to a place outside Hong Kong.

In connection with e(ii) above, the credit reference agency will use the above data supplied by 8SL for the purpose of compiling a count of the number of credit facilities from time to time held by the customer for sharing in the consumer credit database of the credit reference agency by credit providers. In the event of any default in payment where the amount in default is not fully repaid, the 8SL and its group companies have the right to supply the above data to debt collection agencies for the purpose of debt collection until the outstanding amount is fully repaid to 8SL and its group companies.

f. Under and in accordance with the terms of the PDPO, any individual has the right:

- (i) to check whether 8SL holds data about him and of access to such data;
- (ii) to require 8SL to correct any data relating to him which is inaccurate; and
- (iii) in relation to the provision of credit facilities, to request to be informed which items are disclosed to debt collection agencies, and be provided with further information to enable the making of an access and correction request to the relevant debt collection agency.

g. In accordance with the terms of PDPO, 8SL has the right to charge a reasonable fee for the processing of any data access request.

h. The person to whom requests for access to data or correction of data or for information regarding kinds of data held are to be addressed as follows:

Customer Services

8 Securities Limited

15/F, 1 Lyndhurst Tower, No.1 Lyndhurst Terrace, Central, Hong Kong

(852) 2693 8888

hello@8securities.com

Note: In case of discrepancies between the English and Chinese versions, the English version shall apply and prevail.

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8 Securities Limited