



Individual/Joint Account Application Form

個人/聯名帳戶申請表

8 Securities Limited

(CE Number: AXLI43)

www.8securities.com

17th Floor, The L Place,
139 Queen's Road Central,
Central, Hong Kong
香港中環皇后大道中139號
The L Place 17樓

Questions about your account?

E hello@8securities.com

T +852 2693 8888

F +852 2693 8860

若有任何關於帳戶的問題?

電郵 hello@8securities.com

電話 +852 2693 8888

傳真 +852 2693 8860

Please check that the following documents are attached 請確保附下列文件：

- Photocopy of Hong Kong Permanent ID card(s) or/and Passport(s) of the account holder(s). Passport copy is required for any non Hong Kong citizens.
所有帳戶持有人的香港永久性居民身份證或/及護照副本。所有非香港公民必須提供護照副本。
- Proof of residential address (recent copy of utility bills, mobile phone statement, monthly bank account statement or credit card statement within last 3 months).
住址證明副本 (最近三個月的公用服務帳單, 手提電話帳單, 銀行月結單或信用卡月結單)。
- W-8BEN form. For Joint Account, each account holder has to fill in W-8BEN form separately.
W-8BEN 表格, 若是聯名帳戶持有人必須分別填寫 W-8BEN 表格。

Submit your application by one of the following channels 請通過以下其中之一途徑提交您的申請表格：

Option 1 : In-Person 選擇一：親臨見面

Bring the Application form and all supporting documents to our office at 17th Floor, The L Place, 139 Queen's Road Central, Central, Hong Kong. Your signature has to be witnessed by our licensed representatives.

親臨本公司提交申請表格以及所有文件和完成申請手續, 地址: 香港中環皇后大道中139號 The L Place 17樓。

Option 2 : By-Post 選擇二：郵寄申請

Sign the Application form, mail it to our office together with all the supporting documents AND a cheque payable to "8 Securities Limited" for a minimum of HKD10,000. The cheque should bear your name as shown in your Hong Kong ID card(s) or Passport(s) drawn on your account with a Hong Kong licensed bank and an identical signature to that on the Application Form.

簽署申請表格後, 將所有文件及一張抬頭為 "8 Securities Limited" 的支票郵寄到我們辦公室, 支票最低金額為港幣10,000。支票的簽署應與申請表格相同, 而該支票戶口的姓名應與您的身份證或護照所載的一樣。

If you are outside Hong Kong, sign the Application form witnessed by any registered person, a Justice of the Peace, branch manager of a bank, lawyer, certified public accountant or Notary Public and mail it to our office together with all supporting documents. The witness must complete the Identity verification section at the end of the Application.

如身在香港以外, 請於任何持牌或註冊人、太平紳士、銀行分行經理、律師、執業會計師或公證人見證下簽署申請表格後, 再連同其他文件郵寄至我們的辦公室。見證人必須在申請表格尾段完成身份核證部分。

3. Personal Financial Information 財務資料 (for Joint Account, please aggregate 如聯名帳戶，請提供總和) :

Annual Income (HK\$) 每年收入 (港元)

 Less than 少於 \$250,000
 \$250,001 - \$500,000
 \$500,001 - \$1,000,000
 More than \$1,000,000 以上

Liquid Asset Net Worth (HK\$) 流動資產淨值 (港元)

 Less than 少於 \$200,000
 \$200,001 - \$1,000,000
 \$1,000,001 - \$5,000,000
 More than \$5,000,000 以上

Total Asset Net Worth (HK\$) (excluding residence) 總資產淨值 (港元) (不包括住所房產)

 Less than 少於 \$200,000
 \$200,001 - \$1,000,000
 \$1,000,001 - \$5,000,000
 More than \$5,000,000 以上
4. Investment Background 投資資料 (for Joint Account, please aggregate 如聯名帳戶，請提供總和) :

Investment Objective (please choose one) 投資目標 (任選其一)

 Conservative 保守
 Moderate 平衡
 Aggressive 進取

Investment Experience in Securities Trading 證券交易投資經驗

 None 無
 Less than 1 year 少於一年
 1 to 2 years 一至兩年
 3 to 5 years 三至五年
 More than 5 years 五年以上

Type 種類 (if applicable 如適用):

 Stock 股票
 Warrants 認股權証
 Callable Bull/Bear Contracts ("CBBC") 牛熊証
 Equity/Market-linked Notes 股票/市場掛鈎票據
 Futures/Options 期貨/期權
 Exchange Traded Fund ("ETF") 交易所買賣基金
 Investment Funds 基金
 Bonds 債券
 Others 其他

How often do you trade? 你參與買賣的頻率是多少?

 0 - 3 trades per month 每個月 0 - 3 次
 4 - 9 trades per month 每個月 4 - 9 次
 10 trades or above per month 每個月 10 次或以上
5. Derivative Products Knowledge Assessment 衍生投資產品的認知評估

Have you ever undergone any training or attended courses on derivative products? 閣下是否曾接受有關衍生產品的培訓/修讀課程?

 Yes 是
 No 否

If yes, please specify the Organization, Course Name, Year attended 如是，請填妥相關的機構名稱、課程名稱及年份：

Have you ever obtained any working experience related to derivative products? 閣下是否曾獲取有關衍生產品的工作經驗?

 Yes 是
 No 否

If Yes, please specify the Employer Name, Position, Years of Employment 如是，請填妥相關的僱主名稱、職位及服務年資：

Have you ever executed 5 or more transactions related to derivative products* over the past 3 years? 閣下是否在過去三年執行過五次或以上的有關衍生產品的交易?

 Yes 是
 No 否

* Example of derivative products include warrants, callable bull bear contracts, equity-linked notes, exchange traded fund, options and other products embedded with derivatives, etc.

* 衍生產品的例子包括高輪、牛熊證、信貸相連票據，交易所買賣基金、期權及其他與衍生工具掛鈎的產品。

6. Other Disclosure 其他資料披露

Please confirm if Account Holder 1 or Account Holder 2 is 請確認個人/主要帳戶持有人或聯名帳戶持有人：

A senior officer or director of any listed company whose shares are traded on any exchange or market?

是否出任任何交易所或市場上市公司之高級職員/董事?

 Yes 是
 No 否

If Yes, please specify the company name(s) and stock code(s) 如是，請填妥相關的公司名稱及股票編號：

A spouse/child of another person who maintains an account with 8 Securities Limited? 是否 8 Securities Limited 其他客戶的配偶/子女?

 Yes 是
 No 否

If Yes, please specify his/her Name of Account, Account Number, Relationship 如是，請填妥有關人士的名稱、帳戶號及與其關係：

A person licensed by or registered with the Hong Kong Securities and Futures Commission or employed by a licensed corporation or registered institution, a registered securities broker dealer, a stock exchange, the US Securities and Exchange Commission, and the FINRA? 閣下是否為香港證券及期貨事務監察委員會("證監會")之持牌法團或註冊人或受僱於證監會之註冊機構或註冊證券經紀交易商、證券交易所、美國證監會或FINRA?

 Yes 是
 No 否

If Yes, please specify the CE no., the name of employer, and submit an employer consent letter which provides consent to open account with 8 Securities Limited

如是，請填妥中央註冊號碼、僱主名稱及提交閣下僱主有關同意閣下於 8 Securities Limited 開戶之書面同意：

7. Other Information 其他資料

Bank account details - for funds withdrawal from your 8 Securities Limited account, please provide your bank account details. 8 Securities Limited does not accept 3rd parties bank account. A handling fee may be charged for bank transfer or cash deposit. Please refer to www.8securities.com for a full list of charges.
Please note: if you want to change these details in the future, you must notify 8 Securities Limited through written correspondence.
銀行帳戶詳情 - 要從閣下的 8 Securities Limited 帳戶提取款項, 煩請提供閣下的銀行帳戶詳情。閣下或會被收取相關轉帳手續費。8 Securities Limited 不接受第三方之銀行帳戶或現金存款。
詳細收費表請參閱 www.8securities.com。
請注意: 如閣下日後需要更改以下資料, 必須以書面通知 8 Securities Limited。

Name of Bank 銀行名稱:

Name of Overseas Bank 海外銀行名稱 (For Overseas transfer only 只適用於海外轉帳):

Name of Account Holder 帳戶持有人姓名:

Account No. 帳戶號碼:

Account Type 帳戶類別

USD 美元 HKD 港元 CNY 人民幣
 Multi-currency 綜合貨幣 Other 其它

ABA No./SWIFT Code (For Overseas Transfer only)
ABA 號碼/SWIFT 編碼 (只作海外轉帳之用)

Bank Address (For Overseas Transfer only)
銀行地址 (只作海外轉帳之用)

Language Preference 語言喜好

Please check one box only for each item 每項請只在一個空格上加上別號

Account Statement 帳戶結單 English 英文 Traditional Chinese 繁體中文 Simplified Chinese 簡體中文
Newsletter 電子報 English 英文 Traditional Chinese 繁體中文 Simplified Chinese 簡體中文

All statements will be delivered electronically to your designated email account 所有結單將會以電子方式寄到你指定的電郵地址

8. Risk Disclosure Statement and Disclaimers Acknowledgement 風險披露及免責聲明確認

- I/We hereby declare that: (a) the information and representation contained in this Account Application are true, complete and correct; (b) unless specifically stated otherwise, I am/we are the person(s) who is/are ultimately responsible for originating the instructions, and (c) unless specifically stated otherwise, I am/we are the ultimate beneficial owner(s) who stand(s) to gain the commercial/economic benefit of the transaction and/or bear the commercial/economic risk.
本人(吾等)聲明: (a)載於本帳戶申請表的資料及聲明乃屬真實、完整及正確; (b)除非另有指明, 本人(吾等)對所發出指示負有最終責任的人士; 及(c)除非另有指明, 本人(吾等)乃屬將會從交易取得商業/經濟利益及/或承擔其商業/經濟風險的最終受益人。
- I/We hereby accept and agree that the Services and Account are subject to the Customer Agreement and/or Terms of Use and as amended by 8 Securities Limited from time to time. I/We confirm that I/we have read and understood the Customer Agreement and/or Terms of Use and agree to be bound by, the terms and conditions as set forth in the Customer Agreement and/or Terms of Use. Unless the context requires otherwise, terms and expressions used and defined in the Customer Agreement and/or Terms of Use shall have the same meaning when used herein.
本人(吾等)接受及同意服務及帳戶受客戶協議及/或使用條款所規限, 該協議由 8 Securities Limited 不時作出修訂。本人(吾等)確認本人(吾等)已經細閱及明白客戶協議及/或使用條款及接受客戶協議及/或使用條款所訂立的條款及條件所約束。除非文義另有所指, 客戶協議及/或使用條款所用及定義的詞彙與本帳戶申請表所用者相同。
- I/We hereby acknowledge and agree that 8 Securities Limited may rely fully on such information and representations for all purpose, unless and until 8 Securities Limited receives notice in writing from me/us of any change.
本人(吾等)知悉及同意除非及直至 8 Securities Limited 接到本人(吾等)發出更改本申請表內容的書面通知, 8 Securities Limited 可完全依賴該等資料及聲明作一切用途。
- I/We acknowledge that 8 Securities Limited does not provide investment, tax or legal advice or recommendations.
本人(吾等)知悉 8 Securities Limited 並不提供投資、稅務或法律意見或推薦建議。
- I/We acknowledge and confirm that I/we have read the relevant risk disclosure statements and disclaimers which were provided in a language of my/our choice as set out in the Customer Agreement and/or Terms of Use. I/We also knowledge that the I/we was/were invited to read the relevant risk disclosure statements as set out in the Customer Agreement and/or Terms of Use, ask questions and take independent advice if the I/we wish.
本人(吾等)承認及確認已閱讀本人(吾等)所選擇語言之客戶協議及/或使用條款內有關的風險披露聲明及免責聲明。本人(吾等)亦確認獲邀閱讀客戶協議及/或使用條款內有關的風險披露聲明及免責聲明, 並可提出問題及徵求獨立的意見(如本人(吾等)有此意願)。
- This Account Application is prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.
本帳戶申請表分中文及英文版本, 如兩者出現歧義, 須以英文版本為準。

Signed, sealed and delivered 由以下人士簽署、蓋印及提交

Signed, sealed and delivered 由以下人士簽署、蓋印及提交

By _____
Account Holder 1's Name 主要帳戶持有人姓名

Account Holder 1's Signature 主要帳戶持有人簽名

Date 日期: _____

In the presence of the Witness specified under section 9 below 在以下第九節所述的見證人見證下

By _____
Account Holder 2's Name 主要帳戶持有人姓名

Account Holder 2's Signature 主要帳戶持有人簽名

Date 日期: _____

In the presence of the Witness specified under section 9 below 在以下第九節所述的見證人見證下

9. Certification of Client Signature and Identity Proof 客戶簽署見證及身份證明文件驗證

This is to certify that I* have witnessed to signing of the Account Application Form of 8 Securities Limited by the applicant. _____
I further confirm that I have verified the applicant's identity against his/her identification documentation.

本人* 謹此認證, 見證申請人 _____ 簽署 8 Securities Limited 的帳戶申請表。本人已索取並核實申請人的身份證明文件, 確認其身份。

Signature of Witness 見證人簽署: _____ Date 日期: _____

Name of Witness 見證人姓名: _____ Occupation 職業: _____

Professional Qualification Obtained by the Witness and the License Number

見證人已取得的專業資格及牌照號碼:

Employer Address of the Witness 見證人僱主的地址:

* Caution: A witness has to be a registered or licensed person, a Justice of the Peace, branch manager of a bank, lawyer, certified public accountant or Notary Public.

* 請注意: 見證人需為註冊人士或持牌人, 太平紳士, 銀行分行經理, 律師, 執業會計師或公證人。

8 Securities Limited is a licensed corporation under Securities and Futures Commission

8 Securities Limited 乃香港證券及期貨事務監察委員會之持牌法團。

10. Declaration by licensed or registered staff 持牌或註冊人士聲明

The customer acknowledges the receipt and understanding of the following documents when he/she opens an account with 8 Securities Limited:

Customer Agreement;

Derivative products risk disclosure statement;

Notice to Customers relating to the Personal Data (Privacy) Ordinance (the "PDPO"); and

Chloe Terms of Use - Chloe Services (if applicable).

客戶確認於 8 Securities Limited 開立帳戶時已收妥及明白下列之文件:

客戶協議;

衍生工具風險披露聲明;

有關個人資料(私隱)條例之客戶告示; 和

使用條款 - Chloe 服務 (如適用)。

The customer has been provided with the relevant risk disclosure statements and disclaimers in a language of the customer's choice. The undersigned licensed or registered staff has invited the customer to read the relevant risk disclosure statements and disclaimers as set out in the Customer Agreement and/or Terms of Use, ask questions and take independent advice if the customer wishes.

客戶已獲提供所選語言之有關風險披露聲明及免責聲明, 以下簽署之持牌或註冊人員已邀請客戶閱讀客戶協議及/或使用條款內有關的風險披露聲明及免責聲明, 並可提出問題及徵求獨立的意見(如客戶有此意願)。

Signature of Staff Member 員工簽署
(CE No. _____)

Date 日期

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

(Rev. January 2017)

► For use by individuals. Entities must use Form W-8BEN-E.

OMB No. 1545-1621

Department of the Treasury
Internal Revenue Service

► Information about Form W-8BEN and its separate instructions is at www.irs.gov/formw8ben.

► Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:

Instead, use Form:

- You are NOT an individual W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States 8233 or W-4
- You are a person acting as an intermediary W-8IMY

Note: If you are resident in a FATCA partner jurisdiction (i.e., a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner		2 Country of citizenship	
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.			
City or town, state or province. Include postal code where appropriate.		Country	
4 Mailing address (if different from above)			
City or town, state or province. Include postal code where appropriate.		Country	
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)		6 Foreign tax identifying number (see instructions)	
7 Reference number(s) (see instructions)		8 Date of birth (MM-DD-YYYY) (see instructions)	

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself for chapter 4 purposes,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is:
 - (a) not effectively connected with the conduct of a trade or business in the United States,
 - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
 - (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

Sign Here



Signature of beneficial owner (or individual authorized to sign for beneficial owner)

Date (MM-DD-YYYY)

Print name of signer

Capacity in which acting (if form is not signed by beneficial owner)

Consent - Use of Chloe Service ("Consent")

To: 8 Securities Limited (CE No.: AXL143)

I/We, the undersigned, having entered into the customer agreement ("the Agreement") and the Terms of Use for "Chloe" Service (the "Service") with you, hereby consent to the following:

Capitalized terms used but not defined herein shall have the same meanings as set forth in the Terms of Use – Chloe.

1. The Investment Profile in the Account will be readjusted periodically (including the readjustment once the Predetermined Threshold is triggered) as advised by the Manager, as appointed from time to time by 8 Securities Limited, at the Manager's discretion. The Predetermined Threshold may subject to change without notice.
2. As part of and subject to the readjustment as described under paragraph 1 above, any cash balances in the Account, including but not limited to the following examples, once sufficient will be reinvested upon being credited into the Account unless Client has advised 8 Securities Limited otherwise in a reasonable time:
 - Dividends entitled by and distributed to the Account
 - Residual cash from previous readjustment(s) and/or when the Predetermined Threshold is not triggered and accumulated in the Account
 - Any other cash balances which have been accumulated and retained in the AccountThe additional investment(s) will follow the then Allocation as recommended by the Manager.
3. I/We will follow the recommendation to update my/our portfolio and have my profile readjusted with no exception and agree that 8 Securities Limited will execute trading instructions to cause the Account to follow the model portfolio on my/our behalf.
4. In the above cases as mentioned under paragraphs 1 and 2, 8 Securities Limited will also send me a readjustment notification with such details of the trade(s) concerned, for example, the number of HK ETFs purchased (using the latest prices in the system of 8 Securities Limited as the indicative prices) (the "Notification") via the Interface and/or email at a reasonable time prior to executing any readjustment recommendation as periodically advised by the Manager as appointed from time to time by 8 Securities Limited. Notwithstanding that I have provided this consent, I am/ we are entitled to choosing not to follow such readjustment recommendation upon receiving the relevant Notification by notifying you in writing on or before the date as mentioned in the Notification (the "Due Date"). Should I/ we choose not to follow the readjustment recommendation, I/we agree that 8 Securities Limited will transfer all ETFs under the relevant portfolio from my/ our Account to my/ our Brokerage Account. I/ We hereby consent that if 8 Securities Limited does not receive the aforesaid notice in writing by the Due Date, 8 Securities Limited is eligible to consider that I/we agree to follow the readjustment recommendation and execute the trading transactions to give effect to such readjustment recommendation on my/our behalf.
5. If I/we give any instruction(s) to set up monthly recurring investment(s) or effect any subsequent increase thereof, or put in additional lump sum payment(s), 8 Securities Limited will accept such

order instructions for additional HK ETFs to be purchased at the specified time on my/ our behalf in accordance with the then percentages of allocation of ETF portfolios as recommended by the Manager. I/ We understand and agree that such subsequent change of parameters as requested by me/ us is under the same investment whereby positive confirmation has already been sought from me/ us.

6. I/ We understand that at any time when the value of remaining HK ETFs in my/our portfolio at that time is equal to or above HKD8,888, we may give instruction(s) to decrease my/ our investment portfolio to the extent that the value of remaining HK ETFs in my/our portfolio would be equal to or above HKD1,000 subsequent to such instruction(s) to decrease. If I/we give any instruction(s) to decrease my/ our investment portfolio, 8 Securities Limited will accept such order instructions for selling such HK ETFs on my/ our behalf in accordance with the then percentages of allocation of ETF portfolios as recommended by the Manager. If, however, at any time when the value of remaining HK ETFs in my/our portfolio at that time is less than HKD8,888, I/we can only give my/ our instruction(s) to 8 Securities Limited to close and liquidate all the positions held in my/ our portfolio and I/we shall receive the sales proceeds from the liquidated positions of the portfolio.
7. In the above cases as mentioned under paragraphs 5 and 6, 8 Securities Limited will also notify me via client statement after order execution and provide me with such details of the trade(s) concerned, for example, the number of HK ETFs purchased and/ or sold for me/ us. We understand and agree that such subsequent change of parameters as requested by me/ us is under the same investment whereby positive confirmation has been sought from me/ us already.
8. **I/We acknowledge and agree that the consent herein shall be valid for 12 months and will be expired at the end of 12 months from the date of this Consent but it shall be automatically renewed unless I/we revoke it in writing before the expiry date.**

I/We understand that the consent shall be deemed to be renewed for a subsequent period of 12 months on a continuing basis without my/our written consent if a written notification is given to me/ us, via the last email address that I/we have provided, prior to the expiry date is given to me/us and I/we do not object to such deemed renewal before the expiry date.

I/We understand that I/we may at any time revoke the consent by giving you at least 14 calendar days' prior written notice.

However, you may, in your absolute discretion, treat the revocation notice as having immediate effect upon your receipt.

I/We acknowledge and agree that you reserve the right at any time and from time to time, without having to give any reason or explanation, to suspend the operation of the Account and/or any services provided to me/us under this Consent.

9. I/We further agree that you shall be entitled to receive and retain for your own use any benefit resulting from any transaction effected for the Account with any party (which shall include any party who has agreed, in its ordinary course of business, to give such benefits to you in the form of any fees, commissions, rebates or similar payments or other goods, service or benefits).

10. I/We understand and agree that this Consent is in addition to and in no way limits or restricts any right which you may have under the Terms of Use for Chloe and shall be binding upon the executor, administrator, successors, estate, heirs and assigns of me/us.
11. The provisions of this Consent shall be read together with the Terms of Use for Chloe and its subsequent amendment(s) provided that if any provision herein is in conflict with the terms of the Terms of Use for Chloe, the terms herein shall prevail.
12. I/We agree and undertake to adhere to and/or comply with any modifications and/or amendments of the Consent hereof which you may from time to time notify me in writing.
13. I/We further confirm that I/we have read this Consent and that the contents herein have been fully explained to me/us in English.
14. I/We hereby accept and agree to be bound by this Consent.
15. I/We further acknowledge and agree that, in requesting you to provide the services herein, your licensed representative has explained to me/us and I/we fully understand the additional risks, if any, in giving this Consent.
16. I/ We acknowledge and agree that in the event that there is any inconsistency between the English version and the Chinese version of this Consent, the English version shall prevail.
17. This Consent is governed by and construed according to the laws of Hong Kong and the parties submit to the non-exclusive jurisdiction of the Hong Kong courts.

Name of Client: _____

HKID/Passport: _____

Signature

Date



securities

Individual Self - Certification

8 Securities Limited are obliged under the United States FATCA legislation and the Inland Revenue (Amendment)(No.3) Ordinance 2016 in relation to the automatic exchange of information for tax matters("AEOI"), to collect certain information about each account holder's tax status and tax residency. Please complete the sections below as directed and provide any additional information that is requested. Please note that we may be obliged to share this information with relevant tax authorities including that Hong Kong Inland Revenue Department for transfer of information to the tax authority of another jurisdiction. Terms referenced in this Form shall have the same meaning as applicable under the relevant FATCA and CRS regulations.

If you have any questions about how to complete this form, please refer to accompanying guidelines for completion or contact your tax advisor.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.

All parts of the form must be completed(unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s).

Name

HKID/Passport Number

Place and Country of Birth

I hereby confirm that I am, for tax purpose, resident in the following jurisdictions (indicate the tax reference number type and number applicable in each jurisdiction). Eg. If you are a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

Jurisdiction / Jurisdictions of Tax Residency Indicate all jurisdictions of residence (not restricted to three)	TIN	Enter Reason A, B or C if no TIN is available	Explain why you are unable to obtain a TIN if you selected Reason B

If a TIN is unavailable please provide the appropriate reason A, B or C where appropriate:

Reason A - The country/jurisdiction where I am resident does not issue TINs to its residents.

Reason B - I am otherwise unable to obtain a TIN or equivalent number.

Reason C - No TIN is required. (NOTE Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I undertake to advise 8 Securities Limited promptly and provide an updated Self-Certification from within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete. Where legally obliged to do so, I hereby consent to 8 Securities Limited sharing this information with relevant tax authorities.

I certify that I am the account holder/I am authorized to sign for the account holders# of all accounts to which this form relates.

#Delete as appropriate

Signature

Date (dd/mm/yyyy)

NOTE If you are not the individual, please indicate the capacity in which you are signing the form. If signing under a power of attorney, please also attach a certificated copy of the power of attorney.

Capacity

WARNING It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (ie. HKD10,000)